

Internal Auditor

Sophia Carter

Professional summary

Detail-oriented Internal Auditor with 8+ years of experience in evaluating controls, risk assessments, and compliance procedures. Proven expertise in mitigating financial risks, enhancing organizational efficiency, and ensuring regulatory compliance for Fortune 500 companies.

Experience

Internal Auditor

July 2019 - Now

JPMorgan Chase & Co. / United States, New York, NY

- Conduct comprehensive audits of financial statements and operational processes, identifying discrepancies.
- Develop and implement audit programs that enhanced control environments and improved process efficiency by 15%.
- Assessed the adequacy and effectiveness of internal controls for SOX compliance, collaborating with cross-functional teams.
- Utilize advanced data analytics tools to examine complex datasets, identifying potential fraud indicators.

Junior Auditor

September 2017 - June 2019

Deloitte & Touche LLP / United States, New York, NY

- Supported senior auditors in executing end-to-end financial and operational audits, performing data analysis and assisting in drafting findings for management review.
- Conducted tests on balance sheet accounts, revenue recognition, and expense reporting to ensure accurate and compliant financial reporting.
- Analyzed financial records, transactions, and internal controls to validate data integrity and compliance with GAAP standards, identifying \$500,000 in cost-saving opportunities.
- Assisted in the development of process documentation and work papers, enabling consistency and transparency across audit engagements.

Professional Affiliations

- Member, Institute of Internal Auditors (IIA), 2020 – Present
- Member, New York State Society of CPAs (NYSSCPA), 2019 – Present

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United States, New York, NY

Education

Bachelor of Science in Accounting

2013 - 2017

New York University

United States, NY

CIA

Institute of Internal Auditors

March 2021

CPA

New York State Board of Accountancy

August 2019

Skills

Internal Controls Testing & Evaluation ★★★★★

Risk Assessment & Mitigation Strategies ★★★★★

Data Analytics & Financial Modeling ★★★★★

SOX Compliance & Regulatory Adherence ★★★★★

Advanced Excel & SQL Proficiency ★★★★★

Audit Planning & Program Development ★★★★★