

# Sophia Davis

AML Compliance Analyst

## CONTACT

(555) 123-4567

sophia.davis@gmail.com

United States, New York, NY

## EDUCATION

### Bachelor of Science in Finance

New York University, Graduated: May 2018

### Certifications

- Financial Crimes Investigation and Prevention Certificate**, *University of California, Berkeley*, Certification Date: June 2020
- Certified Anti-Money Laundering Specialist**, *Association of Certified Anti-Money Laundering Specialists*, Certification Date: March 2019

## PROFESSIONAL SUMMARY

Detail-oriented AML Compliance Analyst with experience in financial institutions. Expertise in conducting suspicious activity reviews, reporting, and maintaining up-to-date knowledge of financial regulations. Proven ability to mitigate risk by identifying potential money laundering activities.

## EXPERIENCE

### AML Compliance Analyst 2020 - Now JP Morgan Chase, New York, NY

- Assist in preparing Suspicious Activity Reports (SARs) in compliance with federal regulations.
- Collaborate with internal teams to enhance AML procedures and improve the efficiency of case management.
- Provide training for new compliance staff on AML policies and regulations.
- Conduct ongoing customer due diligence (CDD) reviews for retail and institutional clients.

### AML Analyst 2018 - 2020 Wells Fargo, New York, NY

- Monitored and analyzed daily transactions to identify patterns of suspicious activity.
- Performed regulatory reporting under the Bank Secrecy Act (BSA) and related anti-money laundering legislation.
- Supported AML audits and reviews, ensuring compliance with industry standards.
- Participated in AML risk assessments, identifying gaps in policies and procedures.

## SKILLS

Suspicious Activity Reporting (SAR)	★★★★★
Enhanced Due Diligence (EDD)	★★★★★
Transaction Monitoring Systems	★★★★★
Anti-Money Laundering Risk Assessment	★★★★★
Knowledge of BSA/AML regulations	★★★★★
Investigative Research and Analysis	★★★★★
Regulatory Compliance	★★★★★